



JC10 PCT/PTO 26 SEP 2001

PATENT  
0020-4802P

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TECH CENTER 1600/2901

THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: AZUMA et al. Conf.: 7730  
Appl. No.: 09/743,750 Group: 1643  
Filed: January 16, 2001 Examiner: UNASSIGNED  
For: FORMULATIONS USEFUL FOR IMMUNOTHERAPY  
FOR CANCERS CONTAINING BACTERIAL  
COMPONENT AS AN ACTIVE INGREDIENT

REQUEST FOR A CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, DC 20231

9 / 26 / 01

Sir:

Attached hereto is the Official Filing Receipt in connection  
with the above-identified application.

THE FOLLOWING CORRECTION(S) IS/ARE RESPECTFULLY REQUESTED:

**FILING DATE:**

Please replace "03/26/2001"  
with

--01/16/2001--

It is respectfully requested that the U.S. Patent and  
Trademark Office forward/issue a new Filing Receipt with the  
correction(s) indicated above. Support for the correction(s) is  
readily apparent on the enclosed photocopy of the stamped postcard  
showing the correct filing date.

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The correction(s) is/are due to error by the U.S. Patent and Trademark Office; therefore no fee is due.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

John W. Bailey, #32,881

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

JWB/end

Attachment

(Rev. 03/19/01)

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PCT/JP99/03837

Papers Filed herewith on: 1-16-01  
 DOCKET NO.: 20-4802P  
 ATTY.: JWB  
 APPLICANT(S): J. AZUMA et al.  
 USSN: NEW FILED: 1-16-01  
 PAT NO.: \_\_\_\_\_

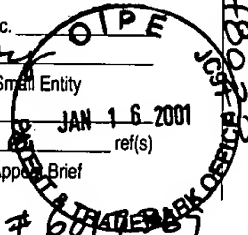
- ☒ New Application with Transmittal Letter  
☐ Utility ☐ Design ☐ CIP ☒ PCT ☐ Provisional  
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV  
☐ Filing Under 37 CFR 1.53(d) (CPA)  
☒ Specification Consisting of: 49 pages  
☐ Combined Declaration & Power of Attorney  
☐ Assignment / Cover Letter  
☐ Letter to Official Draftsman  
☒ Drawings B Sheets ☒ Formal ☐ Informal ☐ Red-Ink  
☐ Completion of Filing Requirements, PCT/DO/EO/905 or PTO-1533 and Executed Declaration  
☐ Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
☒ Amendment: Preliminary  
☐ Transmtl Ltr ☒ Large Entity ☐ Small Entity  
☐ Response  
☒ Information Disc. Stmt. PTO-1449(s) \_\_\_\_\_ ref(s)  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Issue Fee Transmittal  
☒ FEES: \$1,626.00 # 6017369  
☐ Letter: \_\_\_\_\_  
☒ Other: Form PCT/IPEA/409.

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.  
 COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: 1-16-01  
 Handcarry: \_\_\_\_\_

503414

DOCKET NO. 20-4802P



PCT/JP99/03837

Papers Filed herewith on: 1-16-01  
 DOCKET NO.: 20-4802P  
 ATTY.: JWB  
 APPLICANT(S): J. AZUMA et al.  
 USSN: NEW FILED: 1-16-01  
 PAT NO.: \_\_\_\_\_

- ☒ New Application with Transmittal Letter  
☐ Utility ☐ Design ☐ CIP ☒ PCT ☐ Provisional  
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV  
☐ Filing Under 37 CFR 1.53(d) (CPA)  
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☐ Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
☒ Amendment: Preliminary  
☐ Transmtl Ltr ☒ Large Entity ☐ Small Entity  
☐ Response  
☒ Information Disc. Stmt. PTO-1449(s) B ref(s)  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Issue Fee Transmittal  
☒ FEES: \$1,626.00 # 6017369  
☐ Letter: \_\_\_\_\_  
☒ Other: Form IPEA/409.

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/743,750	03/26/2001	1643	1626	0020-4802P	8	26	22

CONFIRMATION NO. 7730

2292  
BIRCH STEWART KOLASCH & BIRCH  
PO BOX 747  
FALLS CHURCH, VA 22040-0747

## FILING RECEIPT



\*OC000000006533193\*

Date Mailed: 09/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ichiro Azuma, Sapporo-shi, JAPAN;  
Norio Hamamatsu, Kawanishi-shi, JAPAN;  
Toshio Fujinaga, Ibaraki-shi, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP99/03837 07/16/1999

## Foreign Applications

JAPAN 202366/1998 07/16/1998  
JAPAN 236143/1998 08/21/1998  
JAPAN 236163/1998 08/21/1998  
JAPAN 236164/1998 08/21/1998

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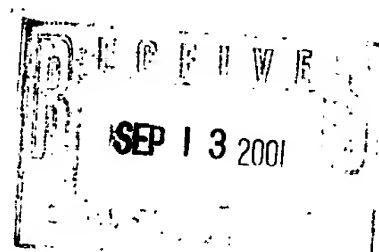
OFFICE OF PETITIONS

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title



Preparations for immunotherapy for cancer having bacterial somatic constituent as the active ingredient

Preliminary Class  
435

Data entry by : NGUYEN, SON      Team : OIPE      Date: 09/07/2001



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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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